Programmes and Investment Committee

Date: **26 June 2024**

Item: **TfL Project Assurance Update**

This paper will be considered in public

1   Summary

1.1   This paper provides an update on the Project Assurance (PA) work undertaken between 7 January and 25 May 2024 (Period 11 of 2023/24 to Period 2 of 2024/25). Three programme reviews were undertaken during this time, with the Independent Investment Programme Advisory Group (IIPAG) involved in all of them. In the same timeframe 17 project reviews were undertaken, with IIPAG involved in four of these.

1.2   These reviews gave rise to a total of 79 recommendations, of which three were considered to be critical issues. Critical issues are those that the PA team or IIPAG believe should be addressed before projects proceed to the next stage.

1.3   A paper is included on Part 2 of the agenda which contains exempt supplemental information that is exempt from publication by virtue of paragraph 3 of Schedule 12A of the Local Government Act 1972 in that it contains information relating to the business affairs of TfL. Any discussion of that exempt information must take place after the press and public have been excluded from this meeting.

2   Recommendation

2.1   **The Committee is asked to note the paper and the exempt supplementary information on Part 2 of the agenda.**

3   Background

3.1   This paper provides the Committee with a summary of the second line assurance, provided by TfL's PA team. It also gives an update on the status of assurance recommendations including those made as part of third line assurance, provided by IIPAG.

4   Summary of Project Assurance Activity

4.1   From the reviews undertaken, TfL Project Assurance made 58 recommendations, of which two were critical issues. All of the recommendations have been agreed by the project teams concerned.

4.2   IIPAG made 21 recommendations, of which one was a critical issue. The recommendations were all agreed with the respective project teams.

5   Status of IIPAG and Project Assurance Recommendations

5.1   The following graphs show the number of open recommendations, the number that are overdue, and the number closed as at the end of Period 8 2023/24 (ending 11 November 2023), Period 10 2023/24 (ending 6 January 2024) and Period 2 (ending 25 May 2024).
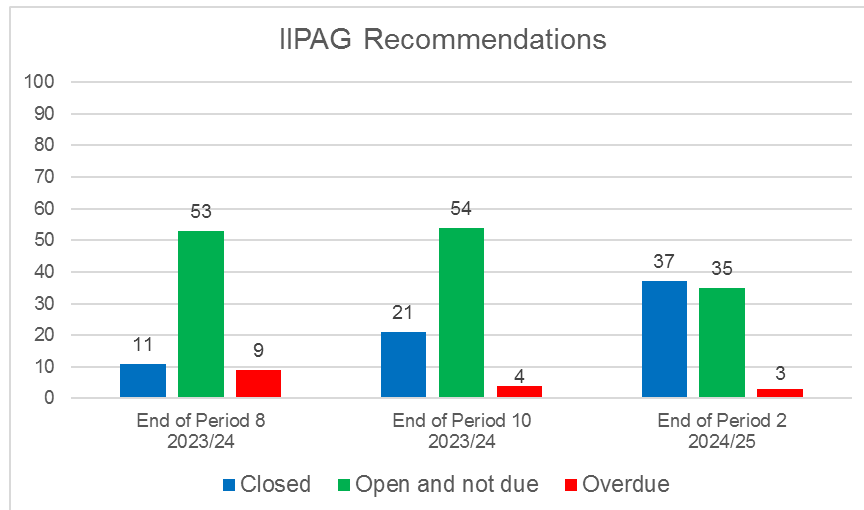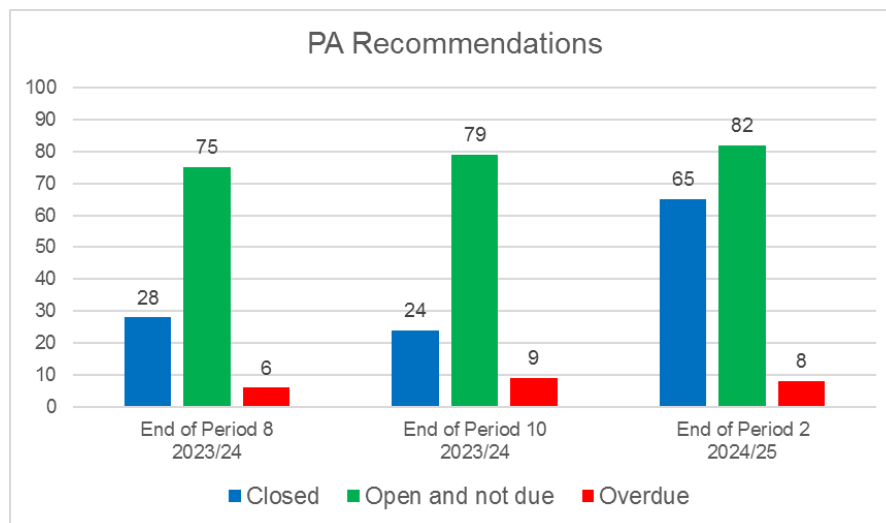

Figure 1: IIPAG Recommendations

Figure 2: Project Assurance Recommendations

5.2 The following graphs show the length of time that the overdue Project Assurance and IIPAG recommendations have been overdue by, as at the end of Period 2, and the Chief Officer area responsible for addressing the recommendations.
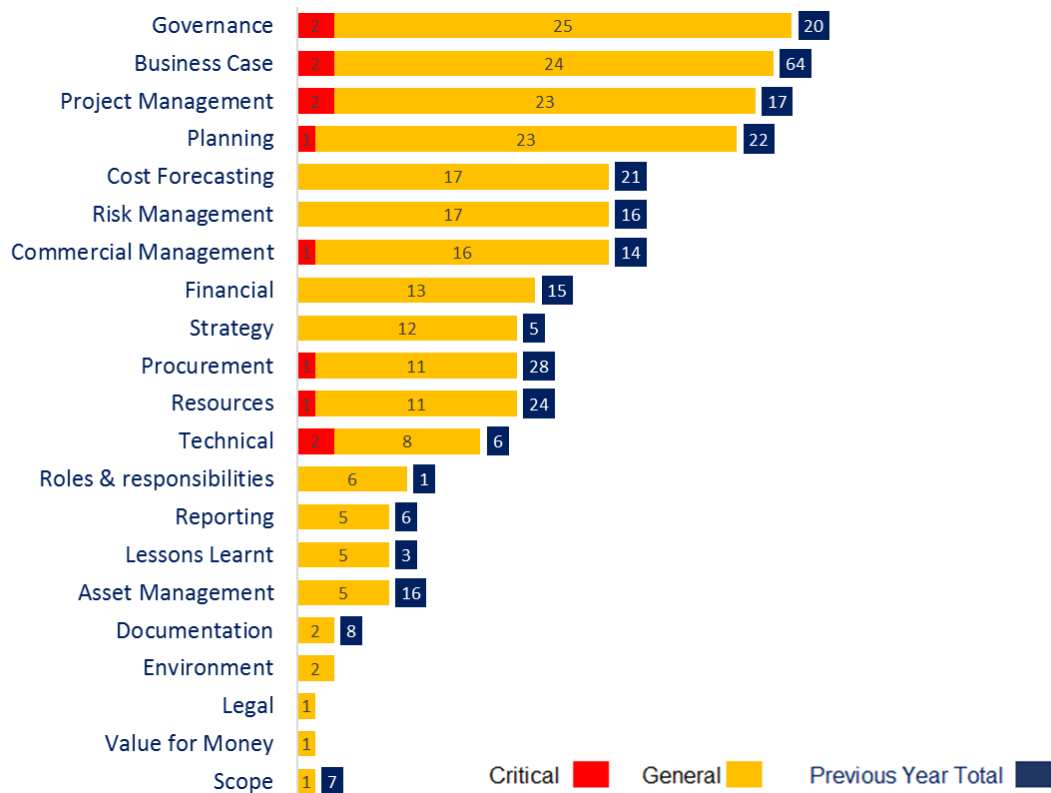
IIPAG Overdue Recommendations by Days

Chief Capital Officer — 2, 1
Chief Cust. & Strategy Officer
Chief Finance Officer
Chief Operating Officer
Chief People Officer
Chief SHE Officer
Comms & Corp. Affairs

Legend: 0-30, 31-59, 60-99, 100+

Figure 3: Overdue IIPAG Recommendations

Project Assurance Overdue Recommendations by Days

Chief Capital Officer — 3, 1
Chief Cust. & Strategy Officer — 2
Chief Finance Officer — 1
Chief Operating Officer
Chief People Officer
Chief SHE Officer
Comms & Corp. Affairs

Legend: 0-30, 31-59, 60-99, 100+

Figure 4: Overdue Project Assurance Recommendations

5.3 The following graph shows the number of recommendations made over the last four quarters grouped by recommendation topic. This is shown against the number of recommendations made in the previous year.

**Figure 5: Project Assurance Recommendations by Topic
(Totals over last four quarters versus previous year)**

List of appendices to this paper:

Exempt supplementary information is included in a paper on Part 2 of the agenda.

List of background papers:

None

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